# A Scientist's Perspective on Open Access & OA2020

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# **Motivation for Open Access**

Educational, economic & scholarly advantages of free & immediate online availability & usability of scholarly research articles

#### **Educational:**

- > equal opportunities, information & stimulation (global/social, teachers/students ...)
- > re-integrate scholarly & common knowledge (Wikipedia, real vs. alternative facts ...)

#### **Economic:**

- liberate distorted market of scientific information (production, distribution, copyright ....)
- ➤ facilitate innovation (text mining by SME ....)

## **Scholarly:**

- > enhance interdisciplinary exchange, discussion collaboration
- advance scholarly evaluation & quality assurance: open review & discussion, transparency & new metrics beyond citation counting oligopoly ...

## **Open Access Variants:**

- > **OA archiving** ("green"): good but not enough (delays & limits in usability & sustainability)
- > OA publishing ("gold"): immediate & full benefits and sustainability

# **OA2020 Expression of Interest**

Building on the Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities and on the progress that has been achieved so far, we are pursuing the large-scale implementation of free online access to, and largely unrestricted use and re-use of scholarly research articles.

We recognize and endorse various ways of implementing open access (OA), including the development of new OA publishing platforms, archives and repositories.

In scholarly journal publishing, OA has gained a substantial and increasing volume. Most journals, however, are still based on the subscription business model with its inherent deficiencies in terms of access, cost-efficiency, transparency, and restrictions of use.

To gain the full benefits of OA and enable a smooth, swift and scholarly oriented transition, the existing corpus of scholarly journals should be converted from subscription to open access.

Recent developments & studies indicate that this transition process can be realized within the framework of currently available resources. With this statement, we express our interest in establishing an international initiative for the OA transformation of scholarly journals, and we agree upon the following key aspects:

We aim to transform a majority of today's scholarly journals from subscription to OA publishing in accordance with community-specific publication preferences. At the same time, we continue to support new and improved forms of OA publishing.

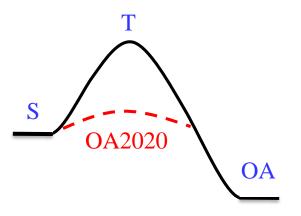
We will pursue this transformation process by converting resources currently spent on journal subscriptions into funds to support sustainable OA business models.

Accordingly, we intend to re-organize the underlying cash flows, to establish transparency with regard to costs and potential savings, and to adopt mechanisms to avoid undue publication barriers. ...

We see the initiative as one element of a more profound evolution of the academic publishing system that will lead to major improvements in scholarly communication and research evaluation.

# **Scientific View of OA Transformation**

**Subscription** (S): high cost; restricted access & usability (~ 4 kEUR/article)



**Transition** (T): activation needed

Catalyst (OA2020)

Open Access (OA): lower cost; full

access & usability

(~ 1.5-2 kEUR/article)

## Trust & apply the principles of mass/energy conservation & reaction kinetics:

Publications carry much of the value but only ~1% of the costs of scientific research: stop the tail wagging the dog

Necessary funds are already in the system: ~50% buffer (~8 bn EUR vs. ~4 bn EUR)<sup>1</sup>

OA will liberate distorted market & lead to higher value @ lower cost <sup>2</sup>

Change requires activation: OA2020 serving as energizer & catalyst (EoI & collaboration)

Multiple pathways & tools: transformative agreements with traditional publishers; continued & extended support for alternative & improved OA publishing platforms

# Motivation for New OA Platforms & Open Peer Review

Traditional journals & peer review are not sufficient for efficient communication & quality assurance in today's diverse & rapidly evolving world of science:

- limited capacities of journal editors & reviewers;
- delays & loss of information from original manuscripts & reviewer comments (often as interesting as final article);
- iterative submissions & waste of reviewer capacities (most limited resource in scientific publishing & quality assurance)

Proper OA publishing & new platforms provide urgently needed opportunities for improved scientific quality assurance:

- transparency & new metrics beyond citation counting oligopoly: article level metrics (ALM) ...
- ➢ open peer review, pre-publication history, peer commentary, post-publication review etc.: BMJ, BMC Medical Journals, BBS, PLOS One, PeerJ, Peerage of Science, Peer Community (PCI), PREreview, Winnower, F1000 Research/Wellcome Open Research ...
- ➤ interactive OA publishing & multi-stage open peer review combine & integrate strengths
  of traditional peer review with virtues of transparency & self-regulation:
  ACP & EGU/Copernicus, Economics e-journal, SciPost/arXiv, ...



#### Atmospheric Chemistry and Physics

An interactive open-access journal of the European Geosciences Union

## ACP Online Library "Most Commented Papers":

www.atmos-chem-phys.net/most\_commented.html

Volume 16, issue 6

Related articles

22 Mar 2016

Status: closed

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#### Journal metrics



SNIP 1.574

IPP 5.054

SJR 3.022 h5-index 92

Definitions

#### Abstracted/indexed

Science Citation Index

Atmos. Chem. Phys., 16, 3761-3812, 2016 http://www.atmos-chem-phys.net/16/3761/2016/ doi:10.5194/acp-16-3761-2016 @ Author(s) 2016. This work is distributed under the Creative Commons Attribution 3.0 License.

Research article

Ice melt, sea level rise and superstorms: evidence from paleoclimate data, climate modeling, and modern observations that 2 °C global warming could be dangerous

James Hansen et al.

#### Download

- Final revised paper (published on 22 Mar 2016)
- Supplement to the final revised paper
- Discussion paper (published on 23 Jul 2015)
- Supplement to the discussion paper

Hansen et al. 2016: climate change, 110 comments, 138 000 downloads

Peer review

www.atmos-chem-phys.net/16/3761/2016/acp-16-3761-2016-discussion.html

#### Interactive discussion

AC: Author comment | RC: Referee comment | SC: Short comment | EC: Editor comment

Supplement - Printer-friendly version

SC C5202: 'SC Two papers that conflict with section 2.2. argument for Eemian "superstorm" activity', Andrew Revkin, 26 Jul 2015 ៉ SC C5522: 'Is a 10% increase in wind speed enough to increase wave heights enough to move the Bahamian boulders in the Eemian?',

Michael Wehner, 31 Jul 2015 AC C8101: 'Response to SC C5522', James Hansen, 15 Oct 2015

AC C5615: 'Boulders in the Bahamas: Response to Comment by A. Revkin on paper Ice Melt, Sea Level Rise and Superstorms', James Hansen, 04 Aug 2015

SC C5885: 'Boulders show mega-tsunamis and multi-metre sea level rise could result from rapid Arctic warming; both precautionary and preventative actions are required urgently', John Nissen, 13 Aug 2015

AC C7872: 'Response to SC C5885', James Hansen, 12 Oct 2015

SC C6270: 'Speculations on superstorms', Max Engel, 26 Aug 2015

AC C7633: 'Reply to SC C6270 'Speculations on superstorms', Max Engel, 26 Aug 2015', James Hansen, 06 Oct 2015 🗎 😭

SC C5208: 'Evidence and validation', Erik Stabenau, 26 Jul 2015 SC C6508: 'Antarctic sea ice growth', Steven Marcus, 03 Sep 2015 AC C7963: 'Response to SC C6508', James Hansen, 13 Oct 2015 AC C7962: 'Response to SC C5208', James Hansen, 13 Oct 2015

RC C5209: 'Very important but strenuous paper', David Archer, 27 Jul 2015 🕮

SC C5270: 'Archer's comment on Hansen's new SLR paper', Rud Istvan, 27 SC C5316: 'RE: Rud Istvan's reply to 'Archer's comment on Hansen's new SC C5336: 'Greenland ice mass loss', Rud Istvan, 29 Jul 2015

AC C7878: 'Response to SC C5336', James Hansen, 12 Oct 2015 AC C7876: 'Response to SC C5316', James Hansen, 12 Oct 2015 AC C7874: 'Response to SC C5270', James Hansen, 12 Oct 2015

# **Self-regulation by transparency:**

- efficient handling of controversial studies
- top impact & visibility @ low rejection rate





Search









₹ Q

Supplement (2930 KB)

#### Short summary We use climate simulations, paleoclimate data and

modern observations to infer that continued high fossil fuel...

Read more

#### Citation

- BibTeX
- EndNote

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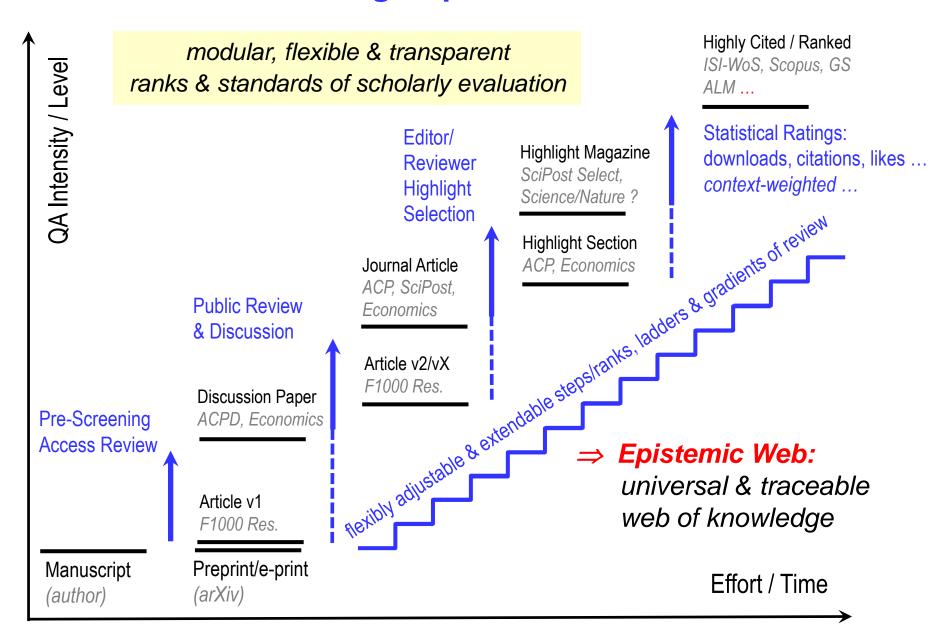








# **Multi-Stage Open Peer Review**



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## **Further References I**

The following references and links provide orientation about the development and perspectives of open access in general and interactive open access publishing with public peer review and interactive discussion in particular (multi-stage open peer review as practiced at EGU).

#### 1. Open Access Declarations & Initiatives

1.1. Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities

http://openaccess.mpg.de/286432/Berlin-Declaration

http://openaccess.mpg.de/319790/Signatories

http://openaccess.mpg.de/mission-statement\_en

http://openaccess.mpg.de/1527674/Session\_II

http://openaccess.mpg.de/1528633/Session-2-Poeschl.pdf

1.2. Bethesda Statement on Open Access Publishing

http://legacy.earlham.edu/~peters/fos/bethesda.htm

1.3. Budapest Open Access Initiative

http://www.budapestopenaccessinitiative.org/

http://www.budapestopenaccessinitiative.org/boai-10-recommendations

http://www.opensocietyfoundations.org/voices/opening-access-research

## 2. Development & Concepts of Interactive Open Access Publishing & Public Peer Review

2.1. Multi-stage open peer review: scientific evaluation integrating the strengths of traditional peer review with the virtues of transparency and self-regulation

http://journal.frontiersin.org/Journal/10.3389/fncom.2012.00033/abstract

2.2. Interactive journal concept for improved scientific publishing and quality assurance http://www.ingentaconnect.com/content/alpsp/lp/2004/00000017/00000002/art00005

## **Further References II**

2.3. A Short History of Interactive Open Access Publishing

http://publications.copernicus.org/A\_short\_History\_of\_Interactive\_Open\_Access\_Publishing.pdf

2.4. EGU Position Statement on the Status of Discussion Papers Published in EGU Interactive Open Access Journals, European Geosciences Union 2010

http://www.egu.eu/about/statements/position-statement-on-the-status-of-discussion-papers-published-in-egu-interactive-open-access-journals/

2.5. Further initiatives & visions of open evaluation

http://www.economics-ejournal.org/

http://f1000research.com/

https://www.scienceopen.com/

http://www.frontiersin.org/Computational\_Neuroscience/researchtopics/Beyond\_open\_access:\_vision s for open evaluation of scientific papers by post-publication peer review/137